

Contact tracing: Public health management of persons, including healthcare workers, having had contact with COVID-19 cases in the European Union – first update

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Background

This document outlines the key steps of contact tracing, including contact identification, listing and follow-up, in the context of the COVID-19 response.

Contact management is based on the latest available evidence, as outlined below.

- Current estimates suggest a median incubation period from five to six days, with a range from 1 to 14 days. A recent modelling study confirmed that it remains prudent to consider an incubation period of up to 14 days [1,2].
- A case may already be infectious up to 48 hours before the onset of symptoms. A recent study reported that 12.6% of case reports indicated presymptomatic transmission [3]. In addition, the proportion of presymptomatic transmission has been inferred through modelling and was estimated to be – in the presence of control measures – at around 48% and 62% in Singapore and China (Tianjin data), respectively [4]. Other studies have shown no significant difference in viral load in asymptomatic and symptomatic patients, indicating the potential of virus transmission from asymptomatic patients [5-7].
- Transmission is believed to be mainly via respiratory droplets and direct contact with infected people and indirect contact with surfaces or objects in the immediate environment [8]. Recent experimental studies carried out under highly controlled conditions have demonstrated the survival of SARS-CoV-2 on different surfaces and in aerosol. Different levels of environmental contamination have been described in rooms of COVID-19 patients [9-11].
- Up to 10% of reported cases in China [12] and up to 9% of cases in Italy were among healthcare workers [13]. It is likely that nosocomial outbreaks play an important role in amplifying local outbreaks, and they disproportionately affect the elderly and vulnerable populations.

Scope of this document

This document aims to help EU/EEA public health authorities in the tracing and management of persons, including healthcare workers, who had contact with COVID-19 cases.

Purpose of contact tracing

The purpose of identifying and managing the contacts of probable or confirmed COVID-19 cases is to rapidly identify secondary cases that may arise from transmission from the primary known cases and to intervene to interrupt further onward transmission. This is achieved through:

- the prompt identification of contacts of a probable or confirmed case of COVID-19;
- providing contacts with information on self-quarantine, proper hand hygiene and respiratory etiquette measures, and advice around what to do if they develop symptoms;
- timely laboratory testing (all those with symptoms and, if resources allow, asymptomatic high-risk exposure contacts as defined below).

Contact tracing is an essential measure to fight the ongoing epidemic of COVID-19. In conjunction with active case finding and testing, and in synergy with other measures such as physical distancing, it is recommended in all transmission scenarios. Each country will need to adapt their response to the local epidemiological situation and according to available resources. The rigorous application of contact tracing measures during the period when only sporadic cases are being observed, can reduce further transmission and have a major impact on the spread of the outbreak. However, if resources allow, it should also be considered for application in geographical locations of more widespread transmission. Even if not all contacts of each case are identified and traced, contact tracing can still contribute to reducing transmission in synergy with other measures such as physical distancing [14-16].

Emerging evidence from the response in China and Singapore has indicated that efficient contact tracing helped reduce the time from symptom onset to isolation and may have substantially reduced the likelihood of ongoing transmission [17,18]. Contact tracing and quarantine have also been used during periods of widespread transmission in Wuhan and South Korea, together with a range of other measures [12,19]. Contact tracing should allow also for better understanding of the epidemiology of COVID-19.

Countries in the EU/EEA that still have a **limited number of cases** should focus their public health efforts on identifying cases and tracing their contacts. If resources are limited, high-risk exposure contacts of each case (close contacts) and contacts who are healthcare workers or work with vulnerable populations should be traced first, followed by as many low-risk exposure contacts as possible [20].

In countries with **widespread transmission** in some regions but limited transmission in others, contact tracing can play a key role in containing the outbreak in these lesser affected areas and within closed settings (e.g. prisons, nursing homes). Contact tracing should still be considered in areas of more widespread transmission, wherever possible, and in conjunction with physical distancing measures. However, if not implemented when widespread transmission is observed, contact tracing has to be implemented as soon as community transmission decreases.

For countries that have had enforced strict **physical distancing** measures for a period of time in order to attempt to interrupt the chain of transmission of the virus, case finding measures, including contact tracing, are a priority once the **physical distancing measures are lifted** in order to reduce the risk of further escalation. During lockdown periods, countries should aim to review existing public health systems to determine the optimal implementation and timing of reinforced contact tracing measures.

ECDC has published a technical report on the resources required for contact tracing, quarantine and monitoring activities [21]. At the end of this document some resource-saving measures are outlined.

Definition of the term 'contact person'

A contact of a COVID-19 case is any person who had contact with a COVID-19 case (Table 1) within a timeframe ranging from 48 hours before the onset of symptoms of the case to 14 days after the onset of symptoms.

If the case had no symptoms, a contact person is defined as someone who had contact with the case within a timeframe ranging from 48 hours before the sample which led to confirmation was taken to 14 days after the sample was taken.

The associated risk of infection depends on the level of exposure, which will, in turn, determine the type of management and monitoring (Table 1) [22].

Table 1. Classification of contact based on level of exposure

High-risk exposure (close contact)	Low-risk exposure
<p>A person:</p> <ul style="list-style-type: none"> having had face-to-face contact with a COVID-19 case within 2 metres for more than 15 minutes having had physical contact with a COVID-19 case having unprotected direct contact with infectious secretions of a COVID-19 case (e.g. being coughed on) who was in a closed environment (e.g. household, classroom, meeting room, hospital waiting room, etc.) with a COVID-19 case for more than 15 minutes in an aircraft, sitting within two seats (in any direction) of the COVID-19 case, travel companions or persons providing care, and crew members serving in the section of the aircraft where the index case was seated [23] (if severity of symptoms or movement of the case indicate more extensive exposure, passengers seated in the entire section or all passengers on the aircraft may be considered close contacts) A healthcare worker or other person providing care to a COVID-19 case, or laboratory workers handling specimens from a COVID-19 case, without recommended PPE or with a possible breach of PPE [24] 	<p>A person:</p> <ul style="list-style-type: none"> having had face-to-face contact with a COVID-19 case within 2 metres for less than 15 minutes who was in a closed environment with a COVID-19 case for less than 15 minutes travelling together with a COVID-19 case in any mode of transport* A healthcare worker or other person providing care to a COVID-19 case, or laboratory workers handling specimens from a COVID-19 case, wearing the recommended PPE [24]

* *Except* if sitting in an aircraft as specified in the relevant point in the left column.

Longer duration of contact is assumed to increase the risk of transmission; the 15-minute limit is arbitrarily selected for practical purposes. Public health authorities may consider some persons who had a shorter duration of contact with the case as having had high-risk exposure, based on individual risk assessments.

Using only part of the recommended set of PPE increases the exposure of healthcare workers thus increasing the risk.

After a case is identified

The key steps of contact tracing are summarised in Figure 1 below.

Contact identification and listing

Immediately after a confirmed or probable case has been identified, the next steps as regards contact tracing for the public health authorities include:

- interviewing the case to collect information on clinical history and possible contacts. This should be undertaken through a phone call where possible. Cases may be hospitalised and possibly in a poor condition; in such instances, hospital staff or the treating physician may be able to assist in collecting information either directly from the case or close family members.
- tracing the contacts and classifying them into high-risk exposure ('close contact') or low-risk exposure, as described in Table 1 above. Information should be collected on whether the contact works with vulnerable populations (e.g. providing care to the elderly or to immunocompromised people).
- based on national strategies, arranging for testing of symptomatic contacts (and asymptomatic close contacts where resources allow) for SARS-CoV-2 (see [ECDC webpage on laboratory support for COVID-19](#) and [WHO recommendations for testing strategy](#)).
- tracing, and communicating with, the identified contacts and providing information about suitable infection control measures, symptom monitoring and other precautionary measures such as the need for quarantine.

Contact follow-up

Depending on the exposure risk level, individuals and public health authorities should consider several actions (Table 2).

High-risk exposure contacts should be actively monitored by public health authorities, whereas low-risk exposure contacts could self-monitor for symptoms while observing physical distancing measures and avoiding travel. Quarantine should be considered for high-risk exposure contacts [20]. If symptoms of illness occur, contacts should immediately self-isolate and seek medical advice, preferably by phone first, always following recommendations of the national/local authorities.

Table 2. Key actions for management of contacts

Actions	High-risk exposure (close contact)	Low-risk exposure
Individual	For a period of 14 days after the last exposure to a COVID-19 case, high-risk contacts should be advised to: <ul style="list-style-type: none"> quarantine at home if possible*. If not possible, respect physical distancing measures and avoid travel; daily self-monitoring for COVID-19-compatible symptoms, including fever of any grade, cough, fatigue or difficulty breathing; take and record temperature daily (contacts should avoid the use of fever-reducing medication a few hours before they take their temperature); remain contactable by public health authorities; implement rigorous hand hygiene and respiratory etiquette; self-isolate immediately should symptoms develop and seek medical advice, preferably by phone first, following recommendations of the national/local authorities. 	For a period of 14 days after the last exposure, low-risk contacts should be advised to: <ul style="list-style-type: none"> daily self-monitoring for COVID-19-compatible symptoms, including fever of any grade, cough, fatigue or difficulty breathing; respect physical distancing measures and avoid travel; implement rigorous hand hygiene and respiratory etiquette measures; self-isolate immediately should symptoms develop and seek medical advice, preferably by phone first, following recommendations of the national/local authorities.
Public health authorities	<ul style="list-style-type: none"> For a period of 14 days after the last exposure to a COVID-19 case: active follow-up of the contact (e.g. daily phone calls, e-mails, text messages). After contacts are traced, as soon as possible: <ul style="list-style-type: none"> arrange for testing of all symptomatic contacts; consider increased testing of contacts who are also asymptomatic healthcare workers to guide decisions on the need for absence from work (optimal strategy not yet defined); consider testing other asymptomatic contacts if resources allow. If the contact develops COVID-19-compatible symptoms within 14 days of exposure: <ul style="list-style-type: none"> test the contact; if test is negative, continue individual actions for a period of 14 days after the last exposure; if the test is positive, the contact is considered a case: notify the case and initiate contact tracing. 	<ul style="list-style-type: none"> No specific action required**. If the contact develops COVID-19-compatible symptoms: <ul style="list-style-type: none"> test the contact; if the test is negative, continue individual actions for a period of 14 days after the last exposure; if the test is positive, the contact is considered a case: notify the case and initiate contact tracing.

* Check ECDC website for forthcoming technical report on 'Infection prevention and control in the household management of people with suspected or confirmed coronavirus disease (COVID-19)'.

** Based on individual risk assessments, public health authorities may also consider to exclude from work low-risk exposure contacts who work with vulnerable populations (e.g. those who provide care to elderly).

Resource considerations

Contact tracing can be resource intensive. Each country will need to adapt their contact tracing intensity to the local epidemiological situation and according to available resources. These resources may be strengthened by recruiting non-medical staff, including volunteers, if sufficient data protection safeguards, training, and supervision can be provided. Other available resources, such as recruiting call centres set up for other purposes, can also be considered. Measures that may help save resources include switching to self-monitoring for close contacts instead of daily calls, or introduce an app or other online tools to assist with the monitoring [25,26]. To enable scaling up contact tracing, contacts could also be contacted and informed through text messages instead of phone calls [26]. WHO has developed the [Go.Data tool](#) which enables more efficient and effective contact tracing; the tool makes it possible to quickly follow up contacts, visualise chains of transmission, and share data.

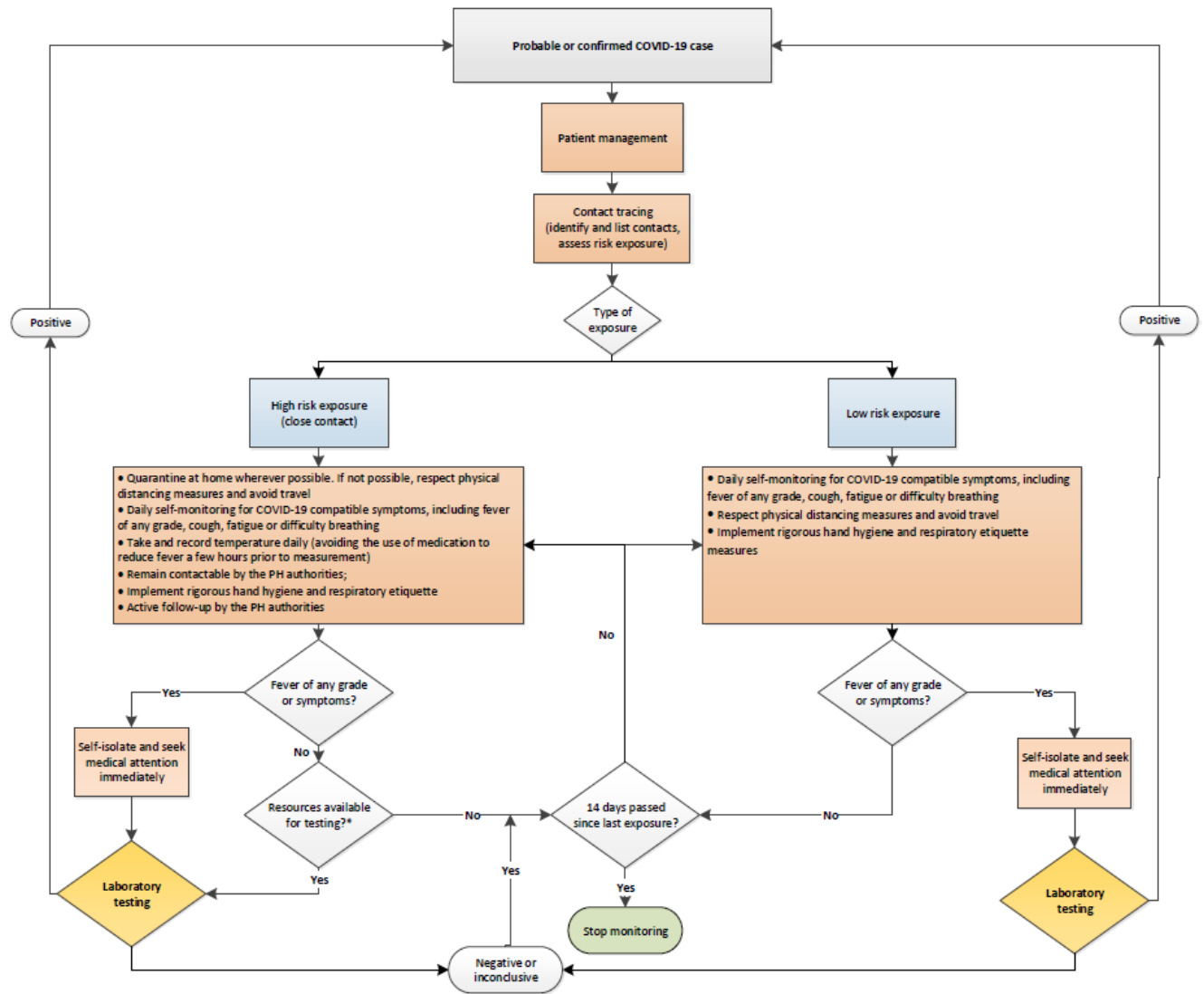
If resources become too limited to test even symptomatic contacts, all symptomatic contacts should be advised to self-isolate and should be managed as a case.

Using contact tracing data to inform response

Data on contact tracing investigations should be collated and analysed at the local and/or national level in order to learn from investigations and inform response. Examples include gaining an understanding of transmission and attack rates, identifying and documenting settings where transmission takes place, and understanding the effectiveness of different mitigation measures such as physical distancing.

Annex

Figure 1-A. Algorithm for the management of contacts of probable or confirmed COVID-19 cases



*consider more intensive testing of contacts who are also asymptomatic healthcare workers to guide decisions on the need for absence from work (optimal strategy not yet defined);

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